

lecture 2

Life and Information



in the living organization

- organisms act according to information they perceive in an environment
- organisms reproduce and develop from genetic information
 - genetic information is **transmitted** “vertically” (inherited) in phylogeny and cell reproduction, and **expressed** “horizontally” within a cell in ontogeny and plain functioning
- Self-reference
 - Information relevant to organism/environment: **function**
 - Only in **reference** to an organism/environment does a piece of DNA **function** as a gene
 - Biology is contextual and historical, physics is universal
 - How is **purpose/function** generated from processes without purpose?



“Life is a dynamic state of matter **organized by information**”.
Manfred Eigen
[1992]



“Biology and physics have nothing to do with each other because biological evolution is essentially historical, and physical laws must be independent of history”. Ernst Mayer

how to best *understand* life?

■ **Genetic System**

- Construction (expression, development, maintenance, and response) ontogenetically: **horizontal** transmission
- Heredity (reproduction) of cells and phenotypes: **vertical** transmission

■ **Immune System**

- Internal response based on accumulated experience (information)

■ **Nervous and Neurological system**

- Response to external cues based on memory

■ **Language, Social, Ecological, Eco-social, etc.**

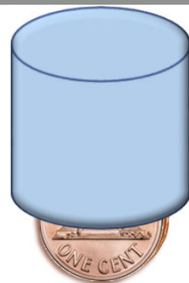


“Life is a complex system for information storage and processing”. Minoru Kanehisa [2000]

observer and choice

- Information is defined as “a measure of the freedom from choice with which a message is *selected* from the set of all possible messages”
- Bit (short for *binary digit*) is the most elementary choice one can make
 - Between two items: “0” and “1”, “heads” or “tails”, “true” or “false”, etc.
 - Bit is equivalent to the choice between two equally likely alternatives
 - Example, if we know that a coin is to be tossed, but are unable to see it as it falls, a message telling whether the coin came up heads or tails gives us one bit of information

1 Bit of *information*
uncertainty removed,
information gained



1 Bit of uncertainty
H,T?

choice between 2 symbols
recognized by an observer





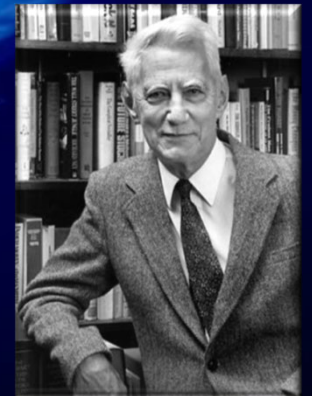
Hartley, R.V.L., "Transmission of Information", *Bell System Technical Journal*, July 1928, p.535.

- Information is transmitted through noisy communication channels
 - Ralph Hartley and Claude Shannon (at Bell Labs), the fathers of Information Theory, worked on the problem of efficiently transmitting information; i. e. **decreasing the uncertainty** in the transmission of information.

C. E. Shannon [1948], "A mathematical theory of communication". *Bell System Technical Journal*, **27**:379-423 and 623-656

C. E. Shannon, "A Symbolic analysis of relay and switching circuits" .*MS Thesis*, (unpublished) MIT, 1937.

C. E. Shannon, "An algebra for theoretical genetics." *Phd Dissertation*, MIT, 1940.



■ Multiplication Principle

- “If some choice can be made in M different ways, and some subsequent choice can be made in N different ways, then there are M x N different ways these choices can be made in succession” [Paulos]
 - 3 shirts and 4 pants = $3 \times 4 = 12$ outfit choices

Htr grsfytsx&vzihpg&lw | %| nm&tsl%
xjvzjshjx&k{fwfgjx&fsi&xyfy&amthjx.



■ Nonspecificity

● Hartley measure

- The amount of uncertainty associated with a set of alternatives (e.g. messages) is measured by the **amount of information needed to remove the uncertainty**

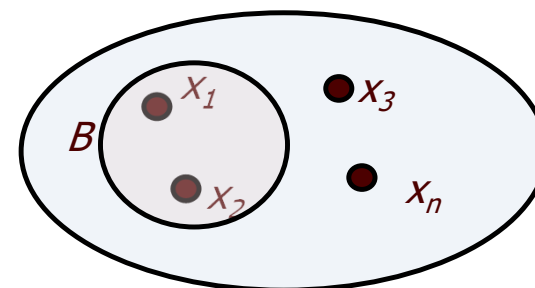
Quantifies how many yes-no questions need to be asked to establish what the correct alternative is

Elementary Choice is
between 2 alternatives: 1 bit

$$H(B) = \log_2(2) = 1$$

$$\log_2(4) = 2 \quad 2^2 = 4$$

A = Set of
Alternatives



$$H(A) = \log_2 |A|$$

Measured in bits

$$\log_2(16) = 4$$

$$\log_2(1) = 0$$

Number of Choices

$$2^4 = 16$$

$$H(A) = \log_2(16) = 4$$

$$H(B) = \log_2(4) = 2$$

$$H(A) = \log_2 |A|$$

Measured in bits

Number of Choices

Quantifies how many yes-no questions need to be asked to establish what the correct alternative is

■ Example

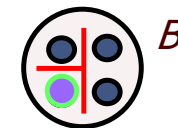
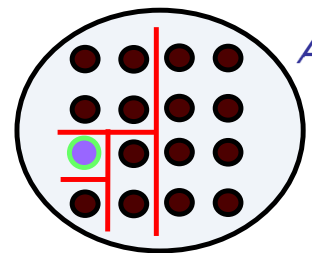
● Menu Choices

■ A = 16 Entrees

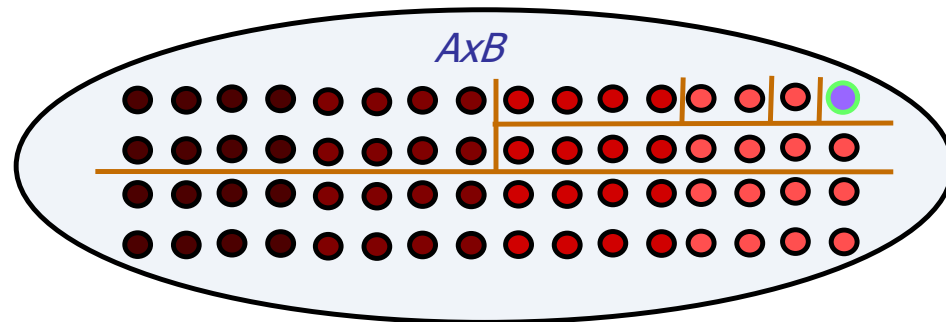
■ B = 4 Desserts

● How many dinner combinations?

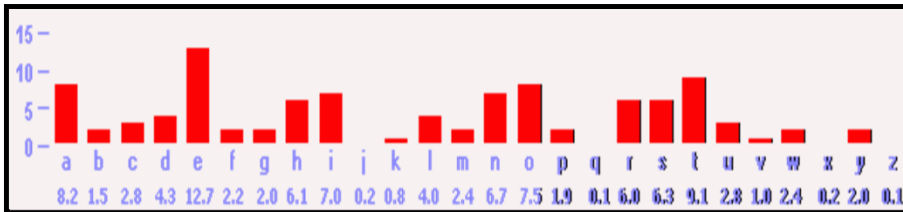
■ $16 \times 4 = 64$



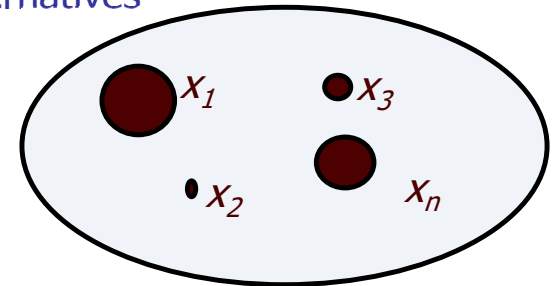
$$H(A \times B) = \log_2(16 \times 4) = \log_2(16) + \log_2(4) = 6$$



uncertainty-based information



A = Set of weighted Alternatives



■ Shannon's measure

- The **average** amount of uncertainty associated with a set of **weighted** alternatives (e.g. messages) is measured by the **average** amount of information needed to remove the uncertainty

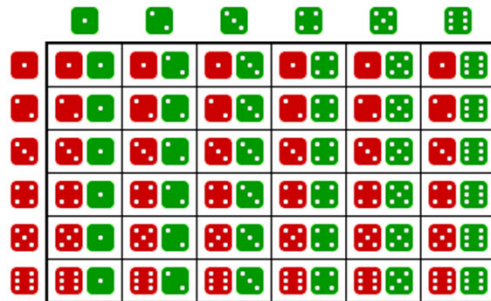
$$H_S(A) = - \sum_{i=1}^n p(x_i) \log_2(p(x_i))$$

Measured in bits Probability of alternative

Tuytsfowjfinl?FjpxfsijwAP7557b%Zsijwxyfsiml%
 Nktwr fytsGnyg~Gny3AS?Vr zxy%gj%jjfzykz%Alwjfy%
 jvzfytsxaka tijwsxhrijshj3L3Kfwr jqt -Ji3.Hwfsyb

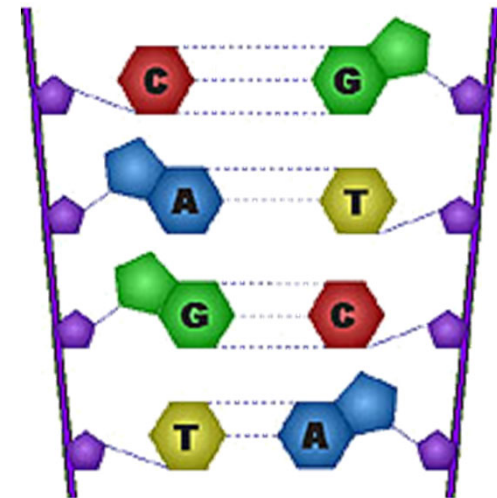
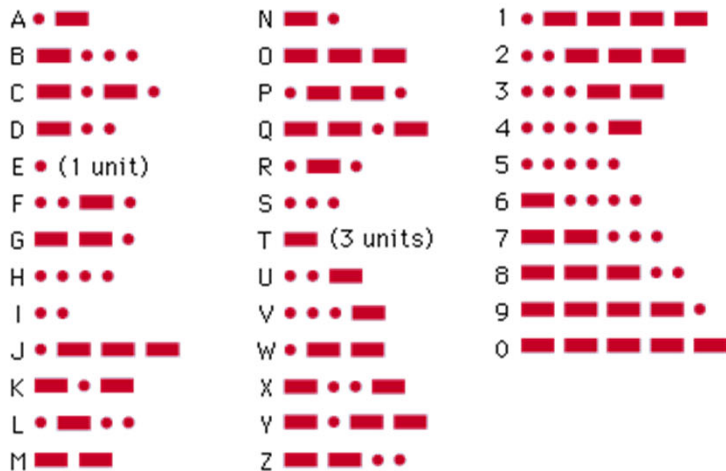
alphabet examples

a b c d e f g
h i j k l m
n o p q r s t
u v w x y z
ch ll ñ



Message encoded in an alphabet of n symbols, for example:

- English (26 letters + space + punctuations)
- Morse code (dot, dash, space)
- DNA (A, T, G, C)
- Two dice (11 integers)



5-letter "english"

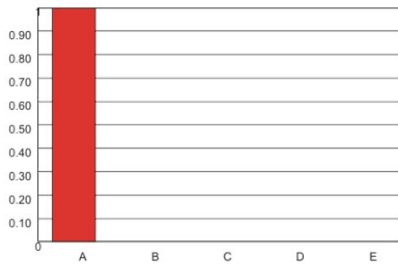
- Given a symbol set {A,B,C,D,E}
 - And occurrence probabilities $P_A, P_B, P_C, P_D, P_E,$
- The Shannon entropy is
 - The average minimum number of bits needed to represent a symbol

$$H_S = -(p_A \log_2(p_A) + p_B \log_2(p_B) + p_C \log_2(p_C) + p_D \log_2(p_D) + p_E \log_2(p_E))$$

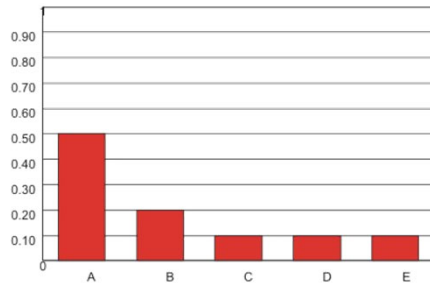
$$H_S = -(1 \cdot \log_2(1) + 0 \cdot \log_2(0) + 0 \cdot \log_2(0) + 0 \cdot \log_2(0) + 0 \cdot \log_2(0)) = -\log_2(1)$$

$$H_S = -5 \cdot \left(\frac{1}{5}\right) \cdot \log_2\left(\frac{1}{5}\right) = -(\log_2(1) - \log_2(5)) = \log_2(5)$$

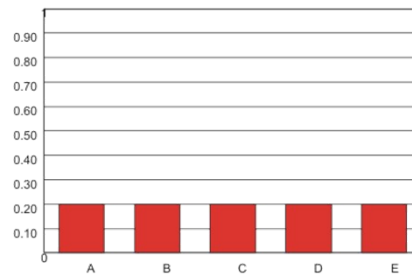
$$H_S = -\left(\frac{1}{2} \cdot \log_2\left(\frac{1}{2}\right) + \frac{1}{5} \cdot \log_2\left(\frac{1}{5}\right) + 3 \cdot \left(\frac{1}{10}\right) \cdot \log_2\left(\frac{1}{10}\right)\right)$$



$H_S = 0$ bits
0 questions



$H_S = 1.96$
 ≈ 2 questions



$H_S = 2.32$ bits

information is surprise

readings

- **Class Book**

- Floreano, D. and C. Mattiussi [2008]. *Bio-Inspired Artificial Intelligence: Theories, Methods, and Technologies*. MIT Press. **Preface**.
 - Nunes de Castro, Leandro [2006]. *Fundamentals of Natural Computing: Basic Concepts, Algorithms, and Applications*. Chapman & Hall. **Chapter 1**, pp. 1-23.

- **Lecture notes**

- **Chapter 1: "What is Life?"**
 - posted online @ <http://informatics.indiana.edu/rocha/i-bic>

- **Papers for Presentations**

- **Logical mechanisms of life (optional for SSIE 483)**
 - Langton, C. [1989]. "Artificial Life" In *Artificial Life*. C. Langton (Ed.). Addison-Wesley. pp. 1-47.
 - Pattee, H. [1989], "Simulations, Realizations, and Theories of Life". In *Artificial Life*. C. Langton (Ed.). pp. 63-77

- **Other Readings**

- **Life and Information**
 - Dennet, D.C. [2005]. "Show me the Science". *New York Times*, August 28, 2005
 - Polt, R. [2012]. "Anything but Human". *New York Times*, August 5, 2012
- **Optional**
 - Gleick, J. [2011]. *The Information: A History, a Theory, a Flood*. Random House. **Chapter 8**.
 - Cobb, Matthew. [2013]. "1953: When Genes Became 'Information'." *Cell* **153** (3): 503-506.
 - Aleksander, I. [2002]. "Understanding Information Bit by Bit". In: *It must be beautiful : great equations of modern science*. G. Farmelo (Ed.), Grant
 - James, R., and Crutchfield, J. (2017). Multivariate Dependence beyond Shannon Information. *Entropy*, 19(10), 531.
 - Prokopenko, Mikhail, Fabio Boschetti, and Alex J. Ryan. "An information-theoretic primer on complexity, self-organization, and emergence." *Complexity* 15.1 (2009): 11-28.

